Puc 3006.02 Form for Initial and Renewal Registration of Aggregators

- (a) The registration application required by Puc 3003.04(a) and Puc 3003.05(b) shall include the following:
- (1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in this state:

Summit Energy Services, Inc. DBA Schneider Electric

(2) The applicant's business address, telephone number, e-mail address and website address, as applicable;

10350 Ormsby Park Place, Suite 400 Louisville, KY 40223 (502) 429-3800 www.schneider-electric.com

(3) The name(s), title(s), business address (es), telephone number(s), and e-mail address (es) of the applicant if an individual or of the applicant's principal(s) if anything other than an individual;

Holly Liter
Director, Operations
10350 Ormsby Park Place, Suite 400
Louisville, KY 40223
(502) 429-3800
Holly.Liter@ems.schneider-electric.com

(4) The telephone number of the customer service department or the name, title, telephone number and e-mail address of the customer service contact person of the applicant, including toll free telephone numbers if available;

Holly Liter
Director, Operations
10350 Ormsby Park Place, Suite 400
Louisville, KY 40223
(502) 429-3800
Holly.Liter@ems.schneider-electric.com

(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state, if anything other than an individual;

Please see attached

(6) A list of CNGSs in New Hampshire through which the applicant intends to provide service;

Summit Energy Services, Inc. will solicit pricing from only the suppliers that are on the NH Competitive Natural Gas Supplier list

(7) A statement that the applicant is not representing any supplier interest, or a listing of any supplier interest(s) the applicant intends to represent;

Summit Energy Services, Inc. will evaluate the market to ensure whether utility provided natural gas is competitive with those markets open to third party competition, and where third party options are more optimal, Summit will competitively source the commodity component amongst multiple vendors on price, service and other factors. Summit will also negotiate contract terms on behalf of its clients as well as offer follow-up services such as billing reconciliation.

(8) Payment of the required filing fee;

CHK050897 was sent by our accounting department and has already been received by the New Hampshire Public Utilities Commission.

(9) The signature of the applicant or its representative.

Holly Liter

Director, Operations

Summit Energy Services, Inc.

Date

State of New Hampshire

OFFICE OF SECRETARY OF STATE



I, DAVID M. SCANLAN, Deputy Secretary of State of the State of New Hampshire, do hereby certify that the attached is a true copy of Application for Certificate of Authority of Summit Energy Services, Inc. as filed in this office and held in the custody of the Secretary of State.



In Testimony Whereof, I hereto set my hand and cause to be affixed the Seal of the State, at Concord, this 25th day of October A.D. 2013

Deputy Secretary of State

Filed Date Filed: 12/01/2010 Business ID: 639502 William M. Gardner Secretary of State

State of New Hampshire

Filing fee:

\$50.00

Fee for Form SRA: \$50.00 Total fees

\$100.00

Use black print or type.

Form must be single-sided on 81/2" x 11"paper; double sided copies will not be accepted.

Form 40 RSA 293-A:15.03

APPLICATION FOR CERTIFICATE OF AUTHORITY FOR PROFIT FOREIGN CORPORATION

TO THE SECRETARY OF STATE OF THE STATE OF NEW HAMPSHIRE

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE BUSINESS CORPORATION ACT, THE UNDERSIGNED CORPORATION HEREBY APPLIES FOR A CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

| FIRST: The name of the corporation is Summit Energy Services, Inc. | | | |
|---|--|--|--|
| SECOND: The name which it elects to use in New Hampshire is Summit Energy Services, Inc. | | | |
| THIRD: It is incorporated under the laws of Delaware | | | |
| FOURTH: The date of its incorporation is July 26, 2004 and | | | |
| the period of its duration is perpetual | | | |
| * | | | |
| FIFTH: The complete address (including zip code and post office box, if any) of its principal office is | | | |
| 10350 Ormsby Park Place, Suite 400, Louisville, Kentucky 40223 | | | |
| SIXTH: The name of its registered agent IN NEW HAMPSHIRE is Corporation Service Company d/b/a | | | |
| Lawyers Incorporating Service and the complete address (including zip code | | | |
| and post office box, if any) of its registered office IN NEW HAMPSHIRE is (agent's business address) | | | |
| 14 Centre Street, Concord, New Hampshire 03301 | | | |
| * | | | |
| · · · · · · | | | |
| SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the equirements of the New Hampshire Uniform Securities Act (RSA 421-B). | | | |
| EIGHTH: The principal purpose or purposes which it proposes to pursue in the transaction of business in New Hampshire are | | | |
| Energy consulting and related management services. | | | |
| State of New Hampshire Form 40 - Application for Certificate of Authority 5 Page(s) | | | |
| | | | |



APPLICATION FOR CERTIFICATE OF AUTHORITY

Form 40 (Cont.)

NINTH: The names and usual business addresses of its current officers and directors are: (If there are additional officers or directors, attach additional sheet OR if the laws of the state of incorporation do not require directors, indicate below.)

| Name | <u>Title</u> | Address |
|----------------------|---|---------|
| OFFICERS | | |
| See Attached Listing | | |
| | | , |
| | | |
| | | |
| DIRECTORS | 4 | |
| See Attached Listing | | |
| , | <u> </u> | |
| | | |
| | | |
| | By Signature of its Chief Financial Officer | |
| | George Willett Print or type name | |
| | Date signed: November/8, 2010 | |

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, <u>DATED & SIGNED ORIGINAL</u>, <u>ORIGINAL CERTIFICATE OF LEGAL EXISTENCE OR GOOD STANDING ISSUED BY THE STATE OR COUNTRY OF INCORPORATION AND FORM SRA</u> to: Corporate Division, Department of State, 107 North Main Street, Concord, NH 03301-4989.

ATTACHMENT \ APPLICATION FOR CERTIFICATE OF AUTHORITY STATE OF NEW HAMPSHIRE BY SUMMIT ENERGY SERVICES, INC.

Item 7. Name and addresses of officers and directors.

OFFICERS:

Steve Wilhite President 10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223 Mark Boyer Vice President 10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223 Chief Financial George Willett 10350 Ormsby Park Place, Suite 400 Officer Louisville, Kentucky 40223 Michele Drechsel Secretary and 10350 Ormsby Park Place, Suite 400 Treasurer Louisville, Kentucky 40223 DIRECTORS: Chip Baird 10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223

Louisville, Kentucky 40223

Mark Bono 10350 Ormsby Park Place, Suite 400

Louisville, Kentucky 40223

Mark Boyer 10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223

Douglas Cobb 10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223

Steve Wilhite 10350 Ormsby Park Place, Suite 400 Louisville, Kentucky 40223

15210757.3

Delaware

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "SUMMIT ENERGY SERVICES, INC." IS. DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-SECOND DAY OF NOVEMBER, A.D. 2010.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "SUMMIT ENERGY SERVICES, INC." WAS INCORPORATED ON THE TWENTY-SIXTH DAY OF JULY, A.D. 2004.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AUTHENTICATION: 8370288

DATE: 11-22-10